

Brad Morgan

From: Yolanda Hendrix <Yolanda.Hendrix@mfin.com>
Sent: Wednesday, August 5, 2026 1:20 PM
To: Brad Morgan
Subject: RE: Branch Office Composite - Morgan Advisory # 119

Thank you for speaking with me today. Your exam report will be issued, shortly.

Please email MHSRegistration@mfin.com to request registration for the state of Colorado.

Please add the approval submission # 5731823 to the website footer (under the disclosures) for tracking purposes.

Image:

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Regards,

Yolanda Hendrix

Manager, Compliance Teams

yolanda.hendrix@mfin.com

Direct: 503-414-7601 | Main: 888-520-6784

2699 Howell Street, Suite 1100, Dallas, TX 75204

mfin.com/m-securities



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From: Yolanda Hendrix
Sent: Tuesday, August 4, 2026 2:37 PM
To: 'Brad Morgan' <Bmorgan@morganadvisory.com>
Subject: RE: Branch Office Composite - Morgan Advisory # 119

Sent. Thank you.

Yolanda Hendrix
Manager, Compliance Teams
yolanda.hendrix@mfin.com
Direct: 503-414-7601 | Main: 888-520-6784
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From: Brad Morgan <Bmorgan@morganadvisory.com>
Sent: Tuesday, August 4, 2026 2:10 PM
To: Yolanda Hendrix <Yolanda.Hendrix@mfin.com>
Subject: [EXTERNAL] RE: Branch Office Composite - Morgan Advisory # 119

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Yolanda,

Perfect. Please send an invite!

Thanks!

Brad Morgan

CA Insurance License Number: 0712161

Morgan Advisory Group

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From: Yolanda Hendrix <Yolanda.Hendrix@mfin.com>

Sent: Tuesday, August 4, 2026 7:06 AM

To: Brad Morgan <Bmorgan@morganadvisory.com>

Subject: RE: Branch Office Composite - Morgan Advisory # 119

Good morning,

I do not believe the call will take long. I can set up a zoom link for your convenience. Will Wednesday 8/5 at 1:00 PST work for you?

Regards,

Yolanda Hendrix

Manager, Compliance Teams

yolanda.hendrix@mfin.com

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From: Brad Morgan <Bmorgan@morganadvisory.com>

Sent: Monday, August 3, 2026 8:25 PM

To: Yolanda Hendrix <Yolanda.Hendrix@mfin.com>

Subject: [EXTERNAL] RE: Branch Office Composite - Morgan Advisory # 119

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Yolanda,

I am in this week, out next week (8/10) on vacation, back following week of 8/17 (then back out on vacation the week of 8/24).

Best days for me at the moment:

- Wednesday, 8/5/2026: Afternoon
- Tuesday, 8/18/2026: Afternoon
- Wednesday, 8/19/2026: 10 am to 3 pm Pacific time

Look forward to it. Thanks again.

Take care,

Brad Morgan

CA Insurance License Number: 0712161

Morgan Advisory Group

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Corona Del Mar, CA 92625

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From: Yolanda Hendrix <Yolanda.Hendrix@mfin.com>

Sent: Monday, August 3, 2026 10:12 AM

To: Brad Morgan <Bmorgan@morganadvisory.com>

Subject: RE: Branch Office Composite - Morgan Advisory # 119

I am attaching the recent copy of your branch office composite. Margi Larson is no longer named.

Also, I have some information regarding state registration in the state of Colorado that I want to share with you. When is a good time to call?

Regards,

Yolanda Hendrix

Manager, Compliance Teams

yolanda.hendrix@mfin.com

Direct: 503-414-7601 | Main: 888-520-6784

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From: Yolanda Hendrix
Sent: Wednesday, July 8, 2026 3:49 PM
To: M Email - MHSRegistration <MHSRegistration@mfin.com>
Cc: Brad Morgan <bradm@morganadvisory.com>
Subject: Branch Office Composite - Morgan Advisory # 119

Hello Registration Team,

Brad Morgan submitted the following correction request regarding the branch office composite shared during the branch exam. Please see the comments below and the attached information from the representative. Mr. Morgan is copied on this email should any additional information be needed to complete the update.

"Branch Composite:

- a. **Margie Larson should not be on this.** She retired back on 12/31/2024. We had previously alerted MHS."

Yolanda Hendrix
Manager, Compliance Teams
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